ACCREDITED INVESTOR CONSENT FORM

Based on the available information, GTN Asia Financial Services (Pte.) Ltd. (GTN Asia) has categorised you as an Accredited Investor for the purposes of all regulated services provided to you by us or through any of our offices. Pursuant to Section 4A(1)(a) of the Securities and Futures Act 2001, Accredited Investor means:

1. an individual -
2. Whose net personal assets exceed in value S$2 million[[1]](#footnote-2) (of its equivalent in a foreign currency); or

*Supporting documents[[2]](#footnote-3) required:*

* *your latest bank account statement/CPF statement;*
* *your latest statement of holdings from CDP/brokerage firm(s); or*
* *a copy of the title deed of your primary residence.*

1. Whose financial assets (net of any related liabilities) exceed in value $1 million (or its equivalent in a foreign currency), where “financial asset” means –
2. A deposit[[3]](#footnote-4) defined in section 4B of the Banking Act 1970;
3. An investment product[[4]](#footnote-5) as defined in section 2(1) of the Financial Advisers Act 2001; or
4. Any other asset as may be prescribed by regulations under section 341; or

*Supporting documents2 required:*

* *your latest bank account statement/CPF statement (Cash in CPF account after setting aside the Full Retirement Sum in the Retirement Account can be considered); and*
* *your latest statement of holdings from CDP/brokerage firm(s);*

1. Whose income in the preceding 12 months is not less than $300,000 (or its equivalent in a foreign currency); and

*Supporting documents2 required:*

* *a copy of your pay slip; or*
* *a copy of your employment contract stating your position and income.*

1. A person who holds a joint account with an individual mentioned in section (i) above, in respect of dealings through that joint account.

You hereby grant your consent to be treated as an Accredited Investor for the purposes of all regulated trade execution and custodial services provided to you by GTN Asia. You may consider at any time to withdraw your consent, upon which GTN Asia after receiving the written notification, will not treat you as an Accredited Investor for its regulated trade execution and custodial services provided to you.

Accredited Investors are assumed to be better informed, and better able to access resources to protect their own interests, and therefore require less regulatory protection. Investors who agree to be treated as Accredited Investors therefore forego the benefit of certain regulatory safeguards. For example, issuers of securities are exempted from issuing a full prospectus registered with the Monetary Authority of Singapore in respect of offers that are made only to Accredited Investors, and intermediaries are exempted from a number of business conduct requirements when dealing with Accredited Investors. Investors should consult a professional adviser if they do not understand any consequence of being treated as an Accredited Investor.

Accordingly, you are aware that as an Accredited Investor, GTN Asia will not be required to adhere to certain business conduct requirements including but not limited to:

1. Conducting a customer account review before opening an account for you to trade in a Listed Specified Financial Instrument/Investment Product;
2. Conducting a customer knowledge assessment before allowing you to transact in an unlisted Specified Investment Product and perform an annual assessment thereafter;
3. Providing a risk warning statement before allowing you to transact in any overseas-listed investment product;
4. Providing a risk fact sheet that highlights common trading risks before opening an account for you to transact in contracts for differences; and
5. Providing risk disclosure to you and obtaining consent from you, prior to mortgaging, charging, pledging or re-hypothecating your assets for a sum not exceeding the amount owed by you to GTN Asia.

In case you would like to NOT opt-in as an Accredited Investor, you will obtain a higher level of investor protection but it also means that GTN Asia will not be able to provide its regulated trade execution and custodial services to you.

Should you require further information, kindly contact GTN Asia at +65 6992 2560 or your usual point of contact.

I hereby confirm that I am an Accredited Investor as defined in Section 4A(1)(a) of the Securities and Futures Act 2001 or any amendments thereto and agree to the following:

1. I hereby give my consent to be treated as an Accredited Investor and I do also understand the consequences of consenting to be treated as an Accredited Investor;
2. I am fully aware that I may at any time withdraw my consent given herein upon which GTN Asia must not (after receiving my written notification) treat me as an Accredited Investor for its regulated trade execution and custodial services provided to me;
3. I will notify GTN Asia if I no longer fall within the definition of an Accredited Investor under the Laws of Singapore; and
4. I have read and understood the contents in this consent form.

If you decide to opt to be categorized as an Accredited Investor, we kindly request you to complete and return this consent form by email to your usual point of contact at GTN Asia. An electronic signature is acceptable.

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| --- | --- |
| Full name: | Click or tap here to enter text. |
| Signature: | Click or tap here to enter text. |
| Date: | Click or tap to enter a date. |

1. In determining net personal assets, the value of the individual’s primary residence (a) is to be calculated by deducting any outstanding amount in respect of any credit facility that is secured by the residence from the estimated fair market value of the residence; and (b) the lower of (i) the value calculated under (a) and (b) S$1 million. [↑](#footnote-ref-2)
2. Except for the title deed, all supporting documents are to be dated no earlier than 3 months before the date of this form. [↑](#footnote-ref-3)
3. “deposit” means a sum of money paid on terms:

   (i) under which it will be repaid, with or without interest or a premium, or with any consideration in money or money’s worth, either on demand or at a time or in circumstances agreed by or on behalf of the person making the payment and the person receiving it; and

   (ii) which are not referable to the provision of property or services or to the giving of security. [↑](#footnote-ref-4)
4. “investment product” includes:

   (i) any capital markets products, such as securities (e.g., shares, debentures, bonds, notes), units in a collective investment scheme (e.g., exchange-traded funds), derivatives contracts (e.g., listed options referencing a share index); and

   (ii) spot foreign exchange contracts (i.e. a spot contract of which the underlying thing is a currency). [↑](#footnote-ref-5)